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DOCKET NO.: M1100.70002US00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gabrio Roncucci, et al.

Serial No.:

Filed Herewith

Confirmation No.:

Not Yet Assigned April 21, 2005

Filed: For:

MESO-SUBSTITUTED PORPHYRINS

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International application.

No fee or certification is required.

#### **PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Serial No.: Filed Herewith - 2 - Art Unit: Not Yet Assigned

Conf. No.: Not Yet Assigned

## PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The relevance of Cite No. 3 listed on the attached form PTO-1449 (modified) is indicated in the enclosed International Search Report from corresponding International Application Serial No. PCT/EP2003/11642 and the enclosed International Preliminary Examination Report from corresponding International Application Serial No. PCT/EP2003/11642.

#### PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

# JC13 F D PCT/PTO 21 APR 2005

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Art Unit: Not Yet Assigned

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Michael J. Pomiaffek, Ph.D., Reg. No. 46,190

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Date: April 21, 2005

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FORM PTO-1449/A and B (Modified)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT			4)	APPLICATION NO.:	Filed Herewith	ATTY. DOCKET N	NO.: M1100.70002US00
			FILING DATE:	April 21, 2005	CONFIRMATION NO.: Not Yet Assigned		
				APPLICANT:	Gabrio Roncucci, et al.		
				GROUP ART UNIT:	Not Yet	EXAMINER:	Not Yet Assigned
Sheet	1	of	1	Assigned		EAAMINEK.	Not 1 of Assigned

**U.S. PATENT DOCUMENTS** 

Examiner's Initials	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document MM-DD-YYYY	
	No.	Number	Kind Code	Document		
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FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
	1	EP	0 906 758	Al	L. Molteni & C. dei Fratelli Alitti Societa di Esercizio S.P.A.	04-07-1999	N/A
	2	wo	01/96343	A1	L. Molteni & C. dei Fratelli Alitti Societa di Esercizio S.P.A.	12-20-2001	N/A
	3	wo	02/10173	A1	Ludovic Bourre, et al.	02-07-2002	N_
	4	wo	98/33503	Ī	Board of Regents, The University of Texas	08-06-1998	N/A

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Transla (Y/N	
	5	D. L. DICK, et al., "Molecular Encapsulation: Cyclodextrin-Based Analogues of Heme-Containing		
	1	Proteins." J.Am. Chem. Soc. 1992, 114, pp. 2664-2669.		

EXAMINER:	DATE CONSIDERED:
	'

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).